PRN:		Course Code:	UBC104R

First Year **B. Com** End Semester Examination Winter 2023

FINANCIAL MARKETS AND INSTITUTIONS

Day and Date: Monday, 18/12/2023 Max Marks: **50**

Time : 2.00 to 4.00 PM Duration: **02** hrs

Instructions: All questions are compulsory.

			Marks	Bloom's Level	CO
Q.1		**Attempt any two from the following**			
	a)	Explain the financial system in India.	06	L2	CL01
	b)	Define the term "Financial market" and briefly explain its primary function in the financial world.	06	L2	CL01
	c)	State the major functions Reserve bank of India.	06	L1	CL01
Q.2		**Attempt any two from the following**			
	a)	Recall capital market types and functioning.	06	L1	CL02
	b)	Discuss meaning of shares and provide a major difference against preference shares.	06	L2	CL02
	c)	Differentiate between Capital Market and Money Market.	06	L4	CL02
Q.3		**Attempt the following**			
	a)	Discuss operations of banks.	06	L2	CL03
	b)	Explain the importance of Banking System in an Economy.	07	L2	CL03
		or			
	b)	List three non-banking financial companies and state its services.	07	L2	CL03
Q.4		**Attempt the following**			
	a)	Define insider trading and provide examples of prohibited activities associated with it.	06	L1	CL04
	b)	Summarize role of 'Securities and Exchange Board of India' in financial market.	07	L2	CL04
		or			
	b)	Analyze a recent case of corporate fraud in the stock market and propose specific measures investors can take to minimize their exposure to similar ethical risks in the future.	07	L3	CL04

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